ALABAMA MEDICAL CANNABIS COMMISSION
ADMINISTRATIVE CODE

CHAPTER 538-X-6
REGULATION OF PROCESSORS

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538-X-6-.01 Licensing Applications And Oversight Of Processors.

Processors authorized pursuant to §20-2A-63, Code of Ala. 1975, (as amended), shall operate in accordance with the provisions of the Act and these Rules. Except as specifically provided in this Chapter, Processors shall be governed by the General Rules for Licensee Applications (Chapter 3 of these Rules) and the General Rules for Licensee Conduct (Chapter4 of these Rules).
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.02 Definitions.

As used in this Chapter, the following terms have the following meanings:

(1) "Attractive to or Targets Children"; "Attractive to Minors." Forms, designs, packaging, receptacles, devices, labeling, or branding that contains, features, resembles, or includes:

(a) Cartoons, including artistic, caricature or similar renderings;

(b) Toys;

(c) Products available for consumption as a commercially available candy;

(d) Realistic or fictional characters whose target audience is primarily composed of minors;

(e) Media that has as an audience demographic composed of greater than 15% minors;

(f) Likenesses or images of minors, animals, or fruits;

(g) A non-cannabis consumer product of a type that is commonly marketed to minors;

(h) Symbols or words commonly used to market products to minors, are commonly associated with minors, or refer to products commonly marketed by minors; or

(i) Celebrities whose audience or following is substantially composed of greater than 15% minors.

(2) "Excipients." Inactive (as opposed to active) ingredients in medical cannabis, such as coloring agents, preservatives, and fillers, that serve as the inert vehicle or medium for the medical cannabis product.

(3) "Good Quality Practices." Application of quality control and quality assurance practices in processing medical cannabis, requiring Processors to use a scientific approach and consider factors such as risk assessment, life cycle, and patient protection in all facets of the manufacturing process, using ISO 17025 and/or ISO 9001Testing and Calibration Laboratories quality standards.

(4) "Pharmaceutical Grade." Manufactured to the same standard or grade as any active or inactive drug, biologic, reagent or other pharmaceutical, in accordance with Good Manufacturing Practices (GMP) and approved, conditionally approved or indexed by the Food and Drug Administration (FDA) or for which a chemical purity standard has been established by recognized compendia.

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.03 Licensing And Regulation Of Medical Cannabis As To Processors.

(1) License Required. Processors are required to be licensed as set forth in Rule 3 of Chapter 3 of these Rules.

(2) Number of Licenses to be issued by the Commission. In accordance with §20-2A-63{b), Code of Ala. 1975, (as amended), the Commission shall issue no more than four Processor licenses, which will be awarded based on merit, need, and other factors identified generally and specifically by the Act and these Rules. (See §20-2A-51, Code of Ala. 1975, (as amended)).

(3) A license to operate as a Processor authorizes the following:

(a) The purchase or transfer of cannabis from a cultivator.

(b) The processing of cannabis into medical cannabis, which shall include properly packaging and labeling medical cannabis products, in accordance with §20-2A-63{d), Code of Ala. 1975, (as amended), and this Chapter.

(c) The sale or transfer of medical cannabis to a dispensary.

(4) A Processor license authorizes the Processor to transfer medical cannabis only by means of a Secure Transporter. A Processor must not transport cannabis or medical cannabis.

(5) A license to operate as a Processor does not authorize the Processor to cultivate, transport or dispense cannabis or medical cannabis, nor may a Processor perform the functions of a State Testing Laboratory or an Integrated Facility as defined in the Act and these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.04 Duties Of Processors.

Processors must do all of the following:

(1) Using documented good quality practices and meeting current Good Manufacturing Practices, a Processor must process cannabis produced by a Cultivator into an approved medical cannabis product.

(2) A Processor's medical cannabis products must:

(a) Be shown to meet intended levels of purity.

(b) Be shown to be reliably free of toxins and contaminants (see Appendix A to Chapter 10 of these Rules).

(c) Contain no additives other than pharmaceutical grade excipients.

(d) Not be processed into a form that is attractive to or targets children.

(e) As to all gelatinous cube, cuboid, and lozenge medical cannabis products, conform to the universal flavor established by the Commission under §20-2A-63(f), Code of Ala. 1975, (as amended), which is peach.

(3) Adhere to the rules and regulations for Packaging and Labeling medical cannabis set forth at r. 538-X-6-.05.

(4) Maintain its license status in good standing and properly adhere to rules and regulations regarding Commission inspections, quality control, quality assurance and license renewal.

(5) Maintain at all times the standards and practices set forth in the business plan and operating plan submitted to the Commission at the time of application or as thereafter amended.

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.05 Labeling And Packaging By Processors.

(1) A Processor must properly package its approved medical cannabis products as follows:

(a) Packaging and containers must be child-resistant.

(b) Packaging and containers must be tamper-evident.

(c) Packaging and containers (or labels, see below) must identify the Processor and type of product.

(d) Packaging and containers must not be attractive to minors.

(e) Packaging and containers must be designed to minimize appeal to children.

(f) Packaging and containers must not contain any false statement or statement that advertises health benefits or therapeutic benefits of medical cannabis.

(2) A Processor must properly label its packages of medical cannabis as follows:

(a) Labels must be securely attached to or imprinted on the accompanying packaging.

(b) Labels must be clear and contain print of a size and quality so as to be legible to the average patient or caregiver with a sixth-grade education.

(c) Labels must identify the type of product (pills, tinctures, transdermal patches, etc.).

(d) Labels must contain lot and batch numbers.

(e) Labels must contain the name of and a license identification number for the Cultivator.

(f) Labels must contain the name of and a license identification number for the Processor.

(g) Labels must identify the cannabinoid content and potency of the product.

(h) Labels must identify the amount, number or count of the product in the package on which they are attached.

(i) Labels must contain the universal state symbol approved by the Commission, printed in color at least one-half inch by one-half inch in size, and placed on the principal display panel of the label or the package to which the label is affixed. The universal state symbol approved by the Commission on January 26, 2026, is incorporated as Appendix A to this Chapter.

(j) Labels must contain the words "Keep out of reach of children."

(k) Labels must contain a digital image or QR Code for purposes of tracking medical cannabis products and must interface with the Statewide Seed-to-Sale Tracking System.

(l) Labels, or if space is not available, a package insert, must contain the following: "WARNING:

This product may make you drowsy or dizzy. Do not drink alcohol with this product. Use care when operating a vehicle or other machinery. Taking this product with medication may lead to harmful side effects or complications. Consult your physician before taking this product with any medication. Women who are breastfeeding, pregnant, or plan to become pregnant should discuss medical cannabis use with their physicians."

(m) Labels must not be attractive to minors.

(n) Labels must not contain any false statement or statement that advertises health benefits or therapeutic benefits of medical cannabis.

(o) At the time of labeling, Processors must provide QR Coding or other approved digital coding to identify, at a minimum, the following:

1. The Cultivator or Integrated Facility, by facility, from which the medical cannabis was sourced,

2. The name of the Processor and the Processor's facility of origin,

3. The type of product,

4. The date of processing and packaging,

5. The date of the Processor's State Testing Laboratory approval,

6. The expiration date (or, if no expiration date, a notation that the expiration date does not apply), and

7. The Alabama Poison Control contact information as provided on the AMCC website.

Author: William H. Webster; Mark D. Wilkerson; Justin C. Aday
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022. **Amended:** Published April 30, 2026; effective June 14, 2026.

538-X-6-.06 Applications And Licensing Procedures As To Processors Generally.

(1) *Generally*. Applicants for a license to operate as a Processor under the Act and these Rules shall be governed by the Rules for filing applications and seeking a license contained in Chapter 3 (538-X-3-.01, et seq.), except as specifically modified below.

(2) *Procedure for Filing Application - Contents of Application Specific to Processors*. A Processor's application filed with the Commission shall conform to the following requirements for all licensees set forth in 538-X-3-.05 of Chapter 3 of these Rules, except as noted below:

(a) Cover Sheet - as provided in 538-X-3-.05 of Chapter 3 of these Rules.

(b) Summary Sheet- as provided in 538-X-3-.05 of Chapter 3 of these Rules.

(c) Application Information - as provided in 538-X-3-.05 of Chapter 3 of these Rules, except as provided otherwise below:

1. The Processor Applicant's Verification regarding each business entity that has any ownership interest in the applicant shall conform with paragraph 3.a. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

2. The Processor Applicant's Verification regarding individuals having any ownership interest in the

applicant, as to the identity, street address and responsible person of all entities with which the individual is connected, to the extent the entity is directly or indirectly involved in the cannabis industry, shall conform with paragraph 3.b. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

3. The Processor Applicant's Verification regarding any criminal history as to any owner, director, board member, or individual with a controlling interest in the applicant shall conform with paragraph 3.c. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

4. The Processor Applicant's verified licensing history, cannabis industry history, and tax history regarding itself or any affiliate shall conform with paragraphs 3.d., 3.e., and 3.f. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

5. The Processor Applicant's Verification regarding any public officials having any interest in the applicant shall conform with paragraph 3.g. of Rule 538-X-3-.0S of Chapter 3 of these Rules.

6. The Processor Applicant's statement of the anticipated or actual number of employees shall conform with paragraph 3.h. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

7. The Processor Applicant's statement of the number of days, if awarded a license, within which it will commence operations and reach full capacity shall conform with paragraph 3.i. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

8. The Processor Applicant's consent to the inspections, examinations, searches and seizures contemplated by §20-2A-52(a)(3), Code of Ala. 1975, (as amended) shall conform with paragraph 3.j. of Rule 538-X-3-.05 of Chapter 3 of these Rules.

9. The Processor Applicant's verification of the permissibility of its facilities' locations and compliance with all State and local laws shall conform with paragraph 3.k. of Rule 538-X- 3-.05 of Chapter 3 of these Rules.

10. The Processor Applicant's Verification that it and its leadership have no economic interest in any other license or Applicant for license under the Act or this Chapter shall conform with paragraph 3.1. of Rule 538-X-3-.05 of Chapter 3 of these Rules. Procedure for Filing Application - Exhibits to

(3) Procedure for Filing Application - Exhibits to Processor's Application. Exhibits to the Processor Applicant's application information shall include all those as provided in subparagraphs 3.m.(1) through 3.m.(16) of 538-X-3-.05 of Chapter 3 of these Rules, unless specifically provided otherwise as follows:

(a) Processor applicants must provide, as available, sales contracts and receipts, lease agreements or other documentation demonstrating possessory interest in all machinery and equipment to be used in the processing of medical cannabis, as well as specifications and operations manuals of such machinery.

(b) Processor applicants must:

1. identify which of the approved types of medical cannabis will be produced at each facility where cannabis is to be processed,

2. provide a summary of the manufacturing processes and methods to be utilized to produce each product, including the machinery, equipment, materials, and personnel necessary to produce each product,

3. identify specific plans to ensure safety of personnel and facilities based on the types of processes proposed to be utilized, and

4. provide a detailed list of formulae and ingredients for each medical cannabis product, including a list of all excipients to be utilized in the manufacture of each product, and the purpose served by each.

(c) Processor applicants must provide a quality control and quality assurance plan for each of their proposed medical cannabis products identifying:

1. An overview of the steps to be taken in the manufacturing process to provide high quality products and/or to ensure the safety, potency, stability, lifespan, and consistency among batches of the same product, whether as required by law or otherwise.

2. What tests will be conducted, if any, at each stage or stages of processing.

3. Whether the testing at each stage will be performed in house, through unofficial private testing performed by a State Testing Laboratory, or through an official test by a State Testing Laboratory.

4. A plan for return and remediation or destruction of any failed test samples, including entry of the event on the Statewide Seed-to-Sale Tracking System.

(d) Processor applicants must provide:

1. A curriculum vitae for the business, demonstrating the education, experience, and other credentials of its leadership, including but not limited to all scientists and engineers employed at each facility.

2. A detailed explanation of the role each leader, scientist or engineer is to have in the processing of medical cannabis at each facility.

3. A 5-year hiring plan for its leaders, scientists, and engineers, identifying the types, positions, required education, required experience, and expected roles of such personnel.

(e) Processor applicants must provide copies of all contracts, contingent contracts, memoranda of understanding (or, if none of the foregoing are available, exemplars) between themselves and:

1. Any Cultivator or prospective Cultivator.

2. Any Secure Transporter or prospective Secure Transporter.

3. Any State Testing Laboratory or prospective State Testing Laboratory.

4. Any Dispensary or prospective Dispensary.

5. Any Integrated Facility or prospective Integrated Facility.

(f) Processor applicants must create a receiving and shipping plan that, at a minimum, ensures the following, in coordination with the contracted Secure Transporter or State Testing Laboratory, as applicable:

1. Individual batches of cannabis being received for storage and/or processing were appropriately prepared, tagged or otherwise identified, and inserted in containers at the time of receipt.

2. Batches and containers arriving from another licensee's facility or another of the Processor's own facilities have been QR coded or otherwise digitally coded to identify, at a minimum, the Cultivator, facility, plant tag or harvest batch number, date of

harvest, expiration date (or, if no expiration date, a notation that the expiration date does not apply), the date of the cultivator's State Laboratory testing approval, and the Alabama Poison Control contact information as provided on the AMCC website.

3. Incoming cannabis is accompanied by the Secure Transporter's manifest and other appropriate documentation; the information thereon is accurate and has been duly executed by all appropriate parties.

4. All information from the QR code relating to the incoming cannabis, as well as the date and time of arrival, has been logged into the Statewide-Seed-to-Sale Tracking System.

5. Individual batches of medical cannabis products being shipped from the Processor's facility to a Dispensary or Cultivator by means of a Secure Transporter must be appropriately packaged, labeled, and inserted in containers prior to transport.

6. Batches and containers being shipped from the Processor's facility to another licensee's facility or another of the Processor's own facilities must be QR coded or otherwise digitally coded to identify, at a minimum, the Processor, facility, type of product, date of processing and packaging, expiration date (or, if no expiration date, a notation that the expiration date does not apply), the date of the Processor's State Laboratory testing approval, and the Alabama Poison Control contact information as provided on the AMCC website.

7. Outgoing medical cannabis is accompanied by the Secure Transporter's manifest and other appropriate documentation; the information thereon is accurate and has been duly executed by all appropriate parties.

8. All information from the QR code relating to the outgoing medical cannabis, as well as the date and time of shipment, has been logged into the Statewide-Seed-to-Sale Tracking System.

(g) Processor applicants must provide a marketing and advertising plan, if any, including:

1. Any proposed logos, branding, messaging, or other marketing or advertising communications, providing exemplars of any specific advertisements.

2. Any specific media outlets or platforms where the marketing or advertising campaigns or programs will be utilized.

3. The identity of any media outlet or third-party individual or entity who is projected to play any role in the Processors' marketing or advertising efforts, and copies of all contracts or contract forms proposed for use, if any, between itself and such media outlet or third- party individual or entity.

4. Virtual renderings of all packaging to be provided by the Processor, demonstrating the size, color, logo, artwork, or statements appearing on the packaging, as well as all child- resistant, tamper-evident, or other safety features, demonstrating conformity with the Act and this Chapter.

5. Exemplars of all proposed labeling, including labels on packaging, on containers and any inserts to be included in any packages, demonstrating conformity with the Act and this Chapter.

(h) Processor applicants must provide a detailed recall plan that will be followed in the event one or more of its products, including any lots or batches thereof, is determined to require recall. The plan must include, but should not be limited to, the following:

1. Provisions for notifying the Processor of an adverse event;

2. Factors about an adverse event that would likely necessitate a recall, and any potential for retesting or remediation;

3. Responsible individuals or positions within the Processor's organization who will oversee the recall process;

4. Notification protocols to other licensees and the Commission through the Statewide Seed-to-Sale Tracking System;

5. Processes to ensure that the recalled product is returned, remediated (and approved as safe), or destroyed;

6. Processes to report to the Commission and any other appropriate regulatory body regarding crisis response and steps taken to mitigate or avoid danger to the public;

7. Steps to be taken to avoid further contamination, to preserve and protect uncontaminated cannabis or medical cannabis products, and to ensure access to said products by those who depend on it; and

8. Investigation and analysis of the factors that led to the unsafe condition requiring the recall, and any adjustments to internal protocols and processes to avoid recurrence.

(i) The Processor Applicant's Security Plan must include a plan for security at each facility, including but not limited to the following:

1. Twenty-four-hour alarm systems must be installed in all facilities where cannabis or medical cannabis products are present. Such alarms shall be provided and installed by experts in industry-standard commercial-grade alarm systems. Alarm systems must be fully operational, securing all entry points and perimeter windows, be equipped with motion detectors and pressure switches, and must cover all areas where cannabis or medical cannabis products are delivered, received, handled, stored, prepared, processed, tested, packaged, labeled, or readied for transport.

2. Reception areas and personnel adjacent to ingress and egress points shall have ready access to duress panic and hold-up alarms that may be activated in the event of access by unauthorized personnel or intruders.

3. Broadcast communication devices (cell phones, intercom equipment or the like) must be:

(i) Carried by each employee or installed in all areas of each Processor's facility designed for regular access by humans.

(ii) Accessible for communication by all personnel at all times, and particularly at perimeter ingress/egress stations, facility reception areas, and the security office.

(iii) Capable of providing information with sufficient clarity to be heard and understood by all personnel and visitors within earshot of the employee receiving the communication.

4. Processor's facilities shall maintain an audio/video surveillance system that shall be in continuous operation 24 hours per day. Cameras shall be fixed in place covering both the interior and exterior of the Processor's facility, in such quantity, with such lighting, and at such resolution as shall allow for the clear identification of individuals and activities in all reasonably accessible areas of the premises, including but not limited to all entrances, exits, parking lots, and any area where cannabis or medical cannabis is

delivered, received, handled, stored, prepared, processed, tested, packaged, labeled, or readied for transport. Audio/Video surveillance recordings must clearly and accurately display the time and date. Audio recordings shall clearly and accurately capture sound within camera range at a level of 20 decibels or greater.

5. The Processor facility's perimeter and any outdoor premises must be surrounded by a sufficient fence or barrier to prevent access by unauthorized persons and must have sufficient lighting to allow for the proper functioning of video surveillance equipment at all times between dusk and dawn or at any other time when ambient lighting requires enhancement to permit identification of individuals or activities upon or immediately adjacent to the premises. Indoor premises must likewise be sufficiently lit to allow for the identification of individuals and activities.

6. Exterior doors of each facility operated by a Processor must be designed or reinforced to withstand unlawful forcible entry; exterior doors shall, at all times, remain locked against outside intruders, while allowing free egress by the facility's occupants in the event of an emergency; doors must permit ingress to employees and other appropriate persons only by means of a keycard or other similar electronic access device.

7. Exterior walls of each facility operated by a Processor must be reinforced to withstand unlawful forcible entry. Windows, likewise, must be reinforced to prevent breakage by outside intruders.

8. Processor facilities must maintain sufficient staffing of security guards at each facility where cannabis and medical cannabis is present to reasonably ensure the safety of the products stored therein; however, Processors must maintain, at a minimum, one (1) security guard per facility during the facility's business/ operating hours.

9. Strict access controls shall protect areas where cannabis or medical cannabis is handled or stored- in a secured, locked room or vault.

10. Records, whether electronic or manual, must be kept of all persons on the premises at a facility at all times, including employees, vendors, transporters or other licensees, and all others, recording the individuals' name, date, time of ingress and egress, and (as to non-employees) the reason for their presence; such records shall be kept for a minimum of two years, and

longer at the request of the Commission or law enforcement.

11. Audio/Video surveillance records must be kept for at least 60 days, and longer upon the request of the Commission, its inspectors, or any law enforcement personnel. Audio/Video recordings potentially reflecting an incident of actual or attempted diversion must be kept for the longer of a period of two years, or until resolution of the incident and apprehension and discipline or prosecution of the individuals involved in the actual or attempted diversion.

12. Employees, while on duty, shall wear identification badges that clearly identify them as employees.

13. Visitors, including vendors, other licensees, Commission members, inspection personnel, or other representatives must wear a "visitor pass" or "AMCC Official" pass, as applicable, at all times while on the premises.

14. Processors shall maintain, review and update policies to report theft, diversion, or other loss of cannabis products to the Commission and to law enforcement as early as practicable and not more than 24 hours from the event or its discovery.

15. Upon request, a Processor shall make available to the Commission or its inspectors all information relating to security alarm systems, monitoring, alarm activity, maps of camera locations and camera coverage, audio/video footage, surveillance equipment maintenance logs, authorized use lists, operation instructions, and any other security- related information deemed relevant by the Commission or its inspectors.

16. Upon request, a Processor shall make available to the Commission or its inspectors all information relating to the Processor's security plan.

(j) The Processor Applicant must provide an affidavit signed by the responsible individual and designated contact person (or, if the Processor is an entity, the duly authorized officer, owner or interest holder and the designated contact person) that the information provided in the Application is true and correct, to the best of the Affiants' knowledge upon a diligent investigation thereof.

(k) The Processor Applicant must provide the appropriate application fee as required by §20-2A-22(f), Code of Ala. 1975, (as amended). The application fee is nonrefundable and must be submitted electronically per instructions in the

Application Form received in response to the applicant's Request for Application.

(l) In all other respects except as expressly stated otherwise in this Rule, Processor Applicants shall be governed by the rules for applications and licensing generally pertaining to all applicants (Chapter 3 of these Rules).

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.07 Post-Licensing Inspection Of Processor Facilities.

Post-Licensing Inspection of Processor facilities under the Act and these Rules shall be governed by Rule 538-X-4-.02 of Chapter 4 of these Rules.

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.08 Investigation Of Processor Licensees.

Investigation of Processor licensees under the Act and these Rules shall be governed by Rule 538-X-4-.03 of Chapter 4 of these Rules.

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.09 Training And Continuing Education Requirements For Processors.

Training and Continuing education requirements for Processors' owners, officers, administrators, managers, and employees shall be as set forth in Rule 538-X-4-.04 of Chapter 4 of these Rules.

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.10 Processors' Maintenance Of Proper Technology.

Processors' duty to maintain proper technology shall be governed by Rule 538-X-4-.05 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.11 Processors' Annual Licensing Fees; Schedule.

Processors' duty regarding annual license fees shall be as set forth in Rule 538-X-4-.06 of Chapter 4 of these Rules, and the schedule therefor shall be contained on the AMCC website.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.12 Processors' Duty To Meet And Maintain Standards; Policies, Procedures And Operation Per Application.

A Processor licensee has an ongoing duty to meet and maintain the standards, policies, procedures, and operations, both at the pre-commencement inspection and at all times thereafter, as it affirmed to the Commission at the time of licensing, as such standards, policies, procedures, and operations may have been amended and updated by the licensee from time to time in accordance with Rules 538-X-4-.08 and 538-X-4-.19., as provided in Rule 538-X-4-.07 of Chapter 4 of these Rules and as modified by Rule 538-X-6-.06 of this Chapter.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.13 Processors' Duty To Notify Or Seek Permission Regarding Material Change In Licensing Information.

Processors' duty to notify or seek the Commission's permission regarding any material change in licensing information shall be governed by Rule 538-X-4-.08 of Chapter 4 of these Rules.

Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.14 Processors' Term Of Licenses.

The term of Processors' licenses shall be governed by Rule 538-X-4-.09 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.15 Processors' Applications For Renewal Of License.

Processors' applications for renewal of license shall be governed by Rule 538-X-4-.10 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.16 Processors' Notifications To Apply For Renewal.

Processors' notifications to apply for renewal shall be governed by Rule 538-X-4-.11 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.17 Expiration Of Processors' Licenses; Delinquent License Renewal; Failure To Apply For Renewal.

The expiration of Processors' licenses, renewal of delinquent licenses and consequences for failing to apply for renewal shall be governed by Rule 538-X-4-.12 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: **New Rule:** Published August 31, 2022; effective October 15, 2022.

538-X-6-.18 Processors License Renewal Process And Procedures; Use Of Independent Third-Party Consultants.

Processors' renewal process and procedures, and the Commission's use of independent third-party consultants as to Processors, shall be governed by Rule 538-X-4-.13 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.19 Processors' License Renewal Fees.

License renewal fees for Processors shall be governed by Rule 538-X-4-.14 of Chapter 4 of these Rules. License renewal fees shall be set forth on the schedule of fees maintained by the Commission on the AMCC website.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.20 Non-Renewal Of Processors' Licenses.

Non-renewal of Processors' licenses shall be governed by Rule 538-X-4-.15 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.21 Processors' Transfer Of Licenses; Change Of Ownership.

Processors' transfer of licenses and change of ownership shall be governed by Rule 538-X-4-.16 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.22 Marketing And Advertising By Processors.

Processors' duties with respect to Advertising, except as specifically modified within this Chapter, shall be governed by Rule 538-X-4-.17 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.23 Relocation Of Processors' Facilities.

Relocation of Processors' facilities shall be governed by Rule 538-X-4-.18 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.24 Material Change In Processors' Information.

Rules regarding a material change in a Processors' Information previously provided to the Commission shall be governed by Rule 538-X-4-.19 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.25 Temporary Licenses For Processors.

Rules regarding temporary licenses for Processors shall be governed by Rule 538-X-4-.20 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.26 Processors' Surrender Of License; Cessation Of Operations.

A Processor's surrender of license and/or cessation of operations shall be governed by Rule 538-X-4-.21 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.27 Disciplinary Actions Against Processors.

Disciplinary Actions against Processors shall be governed by Rule 538-X-4-.22 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-.28 Processors' Appeals From Adverse Decisions By The Commission.

Processors' appeals from adverse decisions by the Commission shall be governed by Rule 538-X-4-.23 of Chapter 4 of these Rules.
Author: William H. Webster
Statutory Authority: Code of Ala. 1975, §§20-2A-22, as amended.
History: New Rule: Published August 31, 2022; effective October 15, 2022.

538-X-6-A Appendix A: Universal State Symbol.

Author: Justin C. Aday
Statutory Authority: Code of Ala. 1975, §20-2A-22, as amended.

History: New Rule: Published April 30, 2026; effective June 14, 2026.