

**ALABAMA BOARD OF EXAMINERS FOR SPEECH-LANGUAGE PATHOLOGY AND
AUDIOLOGY
ADMINISTRATIVE CODE**

**CHAPTER 870-X-6
CODE OF ETHICS**

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870-X-6-.01 Preamble.

(1) The preservation of the highest standards of integrity and ethical principles is vital to the responsible discharge of obligations in the professions of speech-language pathology and audiology. This Code of Ethics sets forth the fundamental principles and rules considered essential to this purpose. Every individual who is licensed by the Board, registered for CFY, or registered as an assistant shall abide by this Code of Ethics. Any action that violates the spirit and purpose of this Code shall be considered unethical. Failure to specify any particular responsibility or practice in this Code of Ethics shall not be construed as denial of the existence of such responsibilities or practices.

(2) The fundamentals of ethical conduct are described by Principles of Ethics and by Rules of Ethics as they relate to the responsibility to persons served, to the public and to the professions of speech-language pathology and audiology.

(a) Principles of Ethics, aspirational and inspirational in nature, form the underlying moral basis for the Code of Ethics. Individuals shall observe these principles as affirmative obligations under all conditions of professional activity.

(b) Rules of Ethics are specific statements of minimally acceptable professional conduct or of prohibitions and are applicable to all individuals.

Author: David Savage

Statutory Authority: Code of Ala. 1975, §§34-28A-1, et seq.

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870-X-6-.02 Principle Of Ethics I.

(1) Individuals shall honor their responsibility to hold paramount the welfare of persons they serve professionally.

Rules of Ethics

(a) Individuals shall provide all services competently.

(b) Individuals shall use every resource, including referral when appropriate, to ensure that quality service is provided.

(c) Individuals shall not discriminate in the delivery of professional services on the basis of race, sex, age, religion, national origin, sexual orientation, or handicapping condition.

(d) Individuals shall fully inform the persons they serve of the nature and possible effects of services rendered and products dispensed.

(e) Individuals shall evaluate the effectiveness of services rendered and of products dispensed and shall provide services or dispense products only when benefit can reasonably be expected.

(f) Individuals shall not guarantee the results or any treatment of procedure, directly or by implication; however, they may make a reasonable statement of prognosis.

(g) Individuals shall not evaluate or treat speech, language, or hearing disorders solely by correspondence.

(h) Individuals shall maintain adequate records of professional services rendered and products dispensed and shall allow access to these records when appropriately authorized.

(i) Individuals shall not reveal, without authorization, any professional or personal information about the person served professionally, unless required by law to do so, or unless doing so is necessary to protect the welfare of the person or of the community.

(j) Individuals shall not charge for services not rendered, nor shall they misrepresent, in any fashion, services rendered or products dispensed.

(k) Individuals shall use persons in research or as subjects of teaching demonstrations only with their informed consent.

(l) Individuals shall withdraw from professional practice when substance abuse or an emotional or mental disability may adversely affect the quality of services they render.

Author: David Savage

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870-X-6-.03 Principle Of Ethics II.

(1) Individuals shall honor their responsibility to achieve and maintain the highest level of professional competence.

Rules of Ethics

(a) Individuals shall engage in the provision of clinical services only when they hold the appropriate license, CFY registration, Fourth-Year Internship registration or assistant registration.

(b) Individuals shall engage in only those aspects of the professions that are within the scope of their competence, considering their level of education, training, and experience.

(c) Individuals shall continue their professional development throughout their careers.

(d) Individuals shall delegate the provision of clinical services only to persons who are certified or to persons in the education or certification process who are appropriately supervised. The provision of support services may be delegated to persons who are neither certified nor in the certification process, but are registered as assistants, only when a licensee provides appropriate supervision.

(e) Individuals shall prohibit any of their professional staff from providing services that exceed the staff member's competence, considering the staff member's level of education, training, and experience.

(f) Individuals shall ensure that all equipment used in the provision of services is in proper working order and is properly calibrated.

Author: David Savage, Denise P. Gibbs

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870-X-6-.04 Principle Of Ethics III.

(1) Individuals shall honor their responsibility to the public's understanding of the professions, by supporting the development of services designed to fulfill the unmet needs of the public, and by providing accurate information in all communications involving any aspect of the profession.

Rules of Ethics

(a) Individuals shall not misrepresent their credentials, competence, education, training, or experience.

(b) Individuals shall not participate in professional activities that constitute a conflict of interest.

(c) Individuals shall not misrepresent diagnostic information, services rendered, or products dispensed or engage in any scheme or artifice to defraud in connection with obtaining payment or reimbursement for such services or products.

(d) Individuals' statements to the public shall provide accurate information about the nature and management of communication disorders, about the professions, and about professional services.

(e) Individuals' statements to the public shall not contain misrepresentations in advertising, announcing, and in the marketing of professional services, in reporting research results and in the promotion of products.

Author: Denise P. Gibbs

Statutory Authority: Code of Ala. 1975, §§34-28A-1, et seq.

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870-X-6-.05 Principle Of Ethics IV.

(1) Individuals shall honor their responsibilities to the professions and their relationships with colleagues, students, and members of allied professions. Individuals shall uphold the dignity and autonomy of the professions, maintain harmonious

interprofessional and intraprofessional relationships, and accept the professions' self-imposed standards.

Rules of Ethics

(a) Individuals shall prohibit anyone under their supervision from engaging in any practice that violates the Code of Ethics.

(b) Individuals shall not engage in dishonesty, fraud, deceit, misrepresentation, or any form of conduct that adversely reflects on the professions or on the individual's fitness to serve persons professionally.

(c) Individuals shall assign credit only to those who have contributed to a publication, presentation, or product. Credit shall be assigned in proportion to the contribution and only with the contributor's consent.

(d) Individuals' statements to colleagues about professional services, research results, and products shall adhere to prevailing professional standards and shall contain no misrepresentations.

(e) Individuals shall not provide professional services without exercising independent professional judgment, regardless of referral source or prescription.

(f) Individuals who have reason to believe that the Code of Ethics has been violated shall inform the Board.

(g) Individuals shall cooperate fully with the Board in its investigation and adjudication of matters related to this Code of Ethics.

Author: Denise P. Gibbs

Statutory Authority: Code of Ala. 1975, §§34-28A-1, et seq.

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870-X-6-.06 Principle Of Ethics V (Repealed).

(Repealed)

Author:

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870-X-6-.07 Principle Of Ethics VI (Repealed).

(Repealed)

Author:

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