

APA-1

TRANSMITTAL SHEET FOR NOTICE  
OF INTENDED ACTION

Control: 482

Department or Agency: Alabama Department of Insurance

Rule No.: 482-1-036-.04

Rule Title: Forms

Intended Action Amend

Would the absence of the proposed rule significantly harm or endanger the public health, welfare, or safety? No

Is there a reasonable relationship between the state's police power and the protection of the public health, safety, or welfare? Yes

Is there another, less restrictive method of regulation available that could adequately protect the public? No

Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved? No

To what degree?: N/A

Is the increase in cost more harmful to the public than the harm that might result from the absence of the proposed rule? NA

Are all facets of the rule-making process designed solely for the purpose of, and so they have, as their primary effect, the protection of the public? Yes

Does the proposed action relate to or affect in any manner any litigation which the agency is a party to concerning the subject matter of the proposed rule? No

.....  
Does the proposed rule have an economic impact? No

If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, Code of Alabama 1975.

.....  
Certification of Authorized Official

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, Code of Alabama 1975, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Services Agency.

Signature of certifying officer

Reyn Norman  
Reyn Norman

Date

Monday, October 21, 2024

REC'D & FILED  
OCT 21, 2024

LEGISLATIVE SVC AGENCY

ALABAMA DEPARTMENT OF INSURANCE

NOTICE OF INTENDED ACTION

AGENCY NAME: Alabama Department of Insurance

RULE NO. & TITLE: 482-1-036-.04 Forms

INTENDED ACTION: Amend

SUBSTANCE OF PROPOSED ACTION:

The Alabama Department of Insurance is exempt from the Alabama Administrative Procedures Act pursuant to Section 41-22-2(e), Code of Alabama 1975. The Commissioner of Insurance is proposing to amend this rule in compliance with Act No. 2024-71.

TIME, PLACE AND MANNER OF PRESENTING VIEWS:

Interested persons may submit data, views, or arguments in writing at any time prior to 3:00 PM on December 5, 2024, to the Alabama Department of Insurance, Attention: Legal Division, Post Office Box 303351, Montgomery, Alabama 36130-3351, or orally by appearing at the public hearing, Suite 502, RSA Tower, 201 Monroe Street, Montgomery, Alabama, beginning at 10:00 AM, on December 10, 2024.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:

Tuesday, December 10, 2024

CONTACT PERSON AT AGENCY:

Erin Dunagan  
Associate Counsel

*Reyn Norman*

---

Reyn Norman

(Signature of officer authorized  
to promulgate and adopt  
rules or his or her deputy)

(1) Beginning January 1, 2025, each surplus line broker shall file a quarterly report detailing surplus-lines transactions occurring in this state in the previous calendar quarter. The filing schedule shall be as follows: ~~The following~~

1st Quarter (January 1 - March 31): filing due May 30;

2nd Quarter (April 1 - June 30): filing due August 30;

3rd Quarter (July 1 - September 30): filing due November 30;

4th Quarter (October 1 - December 31): filing due January 30.

(2) The forms used in connection with the Surplus Line Insurance Law are on the Department of Insurance's web page at <http://www.aldoi.gov>. The right to amend, rescind, or add to the posted forms are designed for use in connection with the Surplus Line Insurance Law. Copies of all forms are on the department's Web page at <http://www.aldoi.gov>. The right to amend, rescind, or add to these forms is reserved without amending this rule.

<b>Form Number</b>	<b>Title</b>	<b>Reference to Code</b>
<del>AL-SLB-1</del>	<del>Application for License as Surplus Line Broker</del>	<del>27-10-24(a)(1)</del>
<del>ID-12 — (online filing)</del>	<del>Certificate of Surplus Line Broker</del>	<del>27-10-21</del>
<del>AL-SLB-13</del>	<del>Bond Form</del>	<del>27-10-24(a)(3)</del>
<del>ID-14</del>	<del>Purchasing Group Independently Procured Business</del>	<del>27-10-35(a)</del>
<del>ID-14.1</del>	<del>Independently Procured Business</del>	<del>27-10-35(a)</del>
<del>ID-15</del>	<del>Annual Statement / Tax Return</del>	<del>27-10-30</del>

**Author:** Commissioner of Insurance

**Statutory Authority:** Code of Ala. 1975, §§27-2-17, ~~27-10-1, et seq~~ and 27-10-21.

**History:** **New Rule:** September 23, 1963. **Revised:** February 28, 1977; effective March 10, 1977. **Revised:** April 17, 1989; effective April 28, 1989. **Amended:** August 12, 2008; effective August 22, 2008. Filed with LRS August 13, 2008. Rule is not subject to the Alabama Administrative Procedure Act. **Amended:** Hearing December 10, 2024; effective January 1, 2025. LRS Publication October 31, 2024. Rule is not subject to the Alabama Administrative Procedure Act.