

APA-3

**CERTIFICATION OF ADMINISTRATIVE RULES
FILED WITH THE LEGISLATIVE SERVICES AGENCY
OTHNI LATHRAM, DIRECTOR**

(Pursuant to Code of Alabama 1975, §41-22-6, as amended).

I certify that the attached is/are correct copy/copies of rule/s as promulgated and adopted on Thursday, December 12, 2024, and filed with the agency secretary on Thursday, December 12, 2024.

AGENCY NAME: Alabama Department of Insurance

INTENDED ACTION: Amend

RULE NO.: 482-1-036-.04

(If amended rule, give specific paragraph, subparagraphs, etc., being amended)

RULE TITLE: Filings and Forms

ACTION TAKEN: State whether the rule was adopted with or without changes from the proposal due to written or oral comments:

Adopted without changes. No comments received.

NOTICE OF INTENDED ACTION PUBLISHED IN VOLUME XLIII, ISSUE NO. 1, AAM,
DATED THURSDAY, OCTOBER 31, 2024.

STATUTORY RULEMAKING AUTHORITY: Ala. Code § 27-2-17

(Date Filed)
(For LRS Use Only)

REC'D & FILED
DEC 13, 2024
LEGISLATIVE SVC AGENCY

Reyn Norman

Reyn Norman

Certifying Officer or his or her
Deputy

(NOTE: In accordance with §41-22-6(b), as amended, a proposed rule is required to be certified within 90 days after completion of the notice.)

482-1-036-.04

Filings and Forms.

(1) Beginning January 1, 2025, each surplus line broker shall file a quarterly report detailing surplus-lines transactions occurring in this state in the previous calendar quarter. The filing schedule shall be as follows:

1st Quarter (January 1 - March 31): filing due May 30;

2nd Quarter (April 1 - June 30): filing due August 30;

3rd Quarter (July 1 - September 30): filing due November 30;

4th Quarter (October 1 - December 31): filing due January 30.

(2) The forms used in connection with the Surplus Line Insurance Law are on the Department of Insurance's web page at <http://www.aldoi.gov>. The right to amend, rescind, or add to the posted forms is reserved without amending this rule.

Author: Commissioner of Insurance

Statutory Authority: Code of Ala. 1975, §§27-2-17 and 27-10-21.

History: New Rule: September 23, 1963. **Revised:** February 28, 1977; effective March 10, 1977. **Revised:** April 17, 1989; effective April 28, 1989. **Amended:** August 12, 2008; effective August 22, 2008. Filed with LRS August 13, 2008. Rule is not subject to the Alabama Administrative Procedure Act. **Amended:** Hearing December 10, 2024; effective January 1, 2025. LRS Publication October 31, 2024. Rule is not subject to the Alabama Administrative Procedure Act.