

APA-1

TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control: 680
Department or Agency: Alabama State Board of Pharmacy
Rule No.: 680-X-2-.40
Rule Title: Non-Disciplinary Penalties
Intended Action: Amend

Would the absence of the proposed rule significantly harm or endanger the public health, welfare, or safety? Yes

Is there a reasonable relationship between the state's police power and the protection of the public health, safety, or welfare? Yes

Is there another, less restrictive method of regulation available that could adequately protect the public? No

Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved? No

To what degree?: N/A

Is the increase in cost more harmful to the public than the harm that might result from the absence of the proposed rule? NA

Are all facets of the rule-making process designed solely for the purpose of, and so they have, as their primary effect, the protection of the public? Yes

Does the proposed action relate to or affect in any manner any litigation which the agency is a party to concerning the subject matter of the proposed rule? No

Does the proposed rule have an economic impact? No

If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, Code of Alabama 1975.

Certification of Authorized Official

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, Code of Alabama 1975, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Services Agency.

Signature of certifying officer

Anne Marie Nolen
Anne Nolen

REC'D & FILED

Date

Thursday, December 18, 2025

DEC 18, 2025

LEGISLATIVE SVC AGENCY

ALABAMA STATE BOARD OF PHARMACY

NOTICE OF INTENDED ACTION

AGENCY NAME: Alabama State Board of Pharmacy

RULE NO. & TITLE: 680-X-2-.40 Non-Disciplinary Penalties

INTENDED ACTION: Amend

SUBSTANCE OF PROPOSED ACTION:

The proposed repeal and replace is to develop an administrative fine schedule as mandated by Act 2025-372.

TIME, PLACE AND MANNER OF PRESENTING VIEWS:

The public hearing will be February 11, 2026 during the business meeting beginning at 9:00 am CST at the Board of Pharmacy office, located at 111 Village Street, Birmingham, AL 35242. Written comments may be submitted to Anne Marie Nolen via email to anolen@albop.com by EOB Friday, February 6, 2026.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:

Friday, February 6, 2026

CONTACT PERSON AT AGENCY:

Anne Marie Nolen  
anolen@albop.com

*Anne Marie Nolen*

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Anne Nolen

(Signature of officer authorized  
to promulgate and adopt  
rules or his or her deputy)

Non-Disciplinary Penalties.

~~(1) In the event an application for renewal of any type of license, permit, registration, certification or any similar document issued and required by the Alabama Pharmacy Practice Act, the Alabama Uniform Controlled Substances Act or any applicable Rule and the appropriate renewal fee is not received in the Board's office by December 31 of the applicable year, but is received in the Board's office no later than January 31 of the following year and activities requiring renewal were ongoing, a non-disciplinary administrative penalty as indicated below shall be received in the Board Office within fourteen (14) days of the Board's receipt of the renewal and if not, the opportunity to avoid discipline shall no longer be available, rather the Board shall initiate appropriate disciplinary actions. This penalty shall be in addition to the prevailing renewal fee.~~

~~(a) Pharmacy permits to include retail, institutional, non-resident pharmacies, and pharmacy services permits — \$1,000.00.~~

~~(b) Pharmacist license — \$1,000.00~~

~~(c) Technician Registration — \$250.00~~

~~(d) Intern/extern permit — \$250.00~~

~~(e) Pharmacist controlled substance permits — \$500.00~~

~~(f) Pharmacy controlled substance permits to include retail, institutional, and non-resident pharmacies — \$500.00~~

~~(g) Drug manufacturers; Wholesale Distributors; Private Label Distributors, Repackagers, Third Party Logistics, 503B Outsourcers, Retail Oxygen Supplier, Oxygen Manufacturer, and any entities which may be regulated in the future — \$1,000.00.~~

~~(h) Controlled Substance permits for Drug manufacturers; Wholesale Distributors; Private Label Distributors, Repackagers, Third Party Logistics, 503B Outsourcers — \$500~~

~~(i) This Rule is adopted pursuant~~Pursuant to the Board's authority set forth in Code of Ala. 1975, §34-23-33, this Rule adopts an administrative fine penalty schedule for non-disciplinary violations.

(2) The following provisions apply to administrative fines (non-disciplinary penalties):

(a) The Board has the discretion to impose an administrative fine for any violation listed in the non-disciplinary penalty schedule. If the Board elects to issue an administrative fine, that individual or entity may not receive another administrative fine for the same violation within a ten (10) year period. Any subsequent occurrence of that same violation within ten (10) years shall be treated as a disciplinary matter, unless otherwise specified in the schedule.

(b) ~~and is in lieu of~~ Payment of the administrative fine must be received by the Board within ninety (90) days of notice. Failure to pay or otherwise comply with the terms of any imposed corrective action plan within the timeframe shall be considered grounds for a civil penalty, unless a written request for an extension is approved by the Executive Secretary.

(c) If the person or entity wishes to contest the violation or fine, they shall notify the Board in writing within thirty (30) days of notice. In such cases, the Board shall issue a Notice of Hearing and Statement of Charges, and the matter shall proceed in accordance with the Alabama Administrative Procedures Act. Following the hearing, the administrative fine may be dismissed, upheld, modified, or replaced with disciplinary action.

(d) Payment of an administrative fine shall not be considered an admission of wrongdoing and shall not be reported to national disciplinary databases or licensing jurisdictions unless required by the National Practitioner Databank.

(e) If a non-disciplinary violation occurs alongside a disciplinary violation, both violations will be reviewed together. The disciplinary violation shall proceed through the formal disciplinary ~~proceedings~~ process, while the non-disciplinary violation may be included in the disciplinary case or addressed separately depending on whether it directly relates to the underlying conduct. The Board shall determine the most effective approach based on the nature and severity of the violations.

(f) If the non-disciplinary violation is related to a failure to timely renew a license, permit, or registration, any applicable late fee shall not be imposed in addition to the administrative fine.

(g) When considering the amount of a administrative fine for a specific violation, the Board shall consider the level and scope of misconduct, the level of risk to public health and safety, and the compliance history of the violator.

(3) The tables below sets forth each non-disciplinary violation, the individual or entity eligible for a non-disciplinary penalty, and the associated administrative fine. The Board retains the authority to reclassify any violation as disciplinary if evidence suggests a higher level of risk, intent, or patient harm. For the purposes of the table below, the term "license" refers to any license, permit, certificate, or registration issued by the Board.

**Administrative Fines for Pharmacy Technicians**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$100</u>
<u>Failure to Complete Required Continuing Education</u>	<u>First violation: \$0-\$100</u> <u>Second violation: \$0-\$100 + \$10/hr short of requirement</u>
<u>Failure to complete technician training program in compliance with Rule 680-X-2-.14</u>	<u>\$0-\$10/month over 6 months (Up to \$200)</u>
<u>Failure to Submit Documentation of Training Within 10 Days</u>	<u>\$0-\$25</u>
<u>Failure to Report Employment Within 10 Days</u>	<u>First violation: Warning Letter, no fine</u> <u>Second violation: \$0-\$5/day up to \$500</u>
<u>Failure to wear name tag</u>	<u>\$0-\$25</u>

**Administrative Fines for Interns/Externs**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$100</u>
<u>Failure to Report Employment Within 10 Days</u>	<u>First violation: Warning Letter, no fine</u> <u>Second violation: \$0-\$5/day up to \$500</u>

**Administrative Fines for Pharmacists**

<u>Description</u>	<u>Fine</u>
	<u>0-\$500</u>

<u>Operating with an expired license between January 1-31</u>	—
<u>Failure to Complete Required Continuing Education</u>	<u>First violation: \$0-\$250</u> <u>Second violation: \$0-\$250 + \$25/hr short of requirement</u>
<u>Failure to Report Employment Within 10 Days</u>	<u>First violation: Warning Letter, no fine</u> <u>Second violation: \$0-\$5/day up to \$1,000</u>
<u>Unauthorized Presence Inside the Pharmacy excluding:</u> <ol style="list-style-type: none"> <li>1. <u>Cashiers</u></li> <li>2. <u>Shadowing in compliance with Board Policy 23-006</u></li> <li>3. <u>Individuals authorized under applicable statute, rule, or policy</u></li> </ol>	<u>\$0-250</u>
<u>Failure to Renew Collaborative Practice Agreement</u>	<u>\$0-\$100</u>
<u>Exceeding Technician to Pharmacist or Intern to Pharmacist Ratio</u>	<u>\$0-\$250 per technician or intern over ratio</u>
<u>Violation of Code of Professional Conduct where, in the Board's judgement, the violation does not:</u> <ul style="list-style-type: none"> <li>• <u>Impact public safety or direct patient care, and</u></li> <li>• <u>Cause the Board to expend Board resources to evaluate and/or correct</u></li> </ul>	<u>\$0-\$2,000</u>

**Administrative Fines for Supervising Pharmacists**

<u>Description</u>	<u>Fine</u>
<u>Allowing a technician to work without completing training</u>	<u>\$0-\$100</u>
<u>Failure to provide notice of change of Supervising Pharmacist within 10 days for incoming and/or outgoing Supervising Pharmacist</u>	<u>\$0-\$10/day up to \$1,000</u>
<u>Failure to maintain required records</u>	<u>\$0-\$500</u>

<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>
<u>Exceeding Technician to Pharmacist or Intern to Pharmacist Ratio</u>	<u>\$0-\$250 per technician or intern over ratio</u>

**Administrative Fines for Chain Pharmacies**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1000</u>
<u>Operating with an expired controlled substance registration between January 1-31</u>	<u>\$0-\$500</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-1,000 + \$75/legend drug prescription</u>
<u>Operating with an expired controlled substance registration after January 31</u>	<u>\$0-\$500 + \$75/controlled substance prescription</u>
<u>Allowing a technician to work without completing training</u>	<u>\$0-\$100</u>
<u>Failure to Submit Action Plan Within 10 Days of Outgoing Supervising Pharmacist Departure (No Incoming Supervising Pharmacist)</u>	<u>\$0-\$50/day over 10 days</u>
<u>Failure to Identify New Supervising Pharmacist Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Unauthorized Presence Inside the Pharmacy excluding:</u>  1. <u>Cashiers</u> 2. <u>Shadowing in compliance with Board Policy 23-006</u> 3. <u>Individuals authorized under applicable statute, rule, or policy</u>	<u>First violation: Warning Letter, no fine</u>  <u>Second violation: \$0-\$500</u>

<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>
<u>Failure to Notify Board of Authorization to be a DEA Drug Collector</u>	<u>\$0-\$250</u>
<u>Violation of Code of Professional Conduct where, in the Board's judgement, the violation does not:</u>  <ol style="list-style-type: none"> <li>1. <u>Impact public safety or direct patient care, and</u></li> <li>2. <u>Cause the Board to expend Board resources to evaluate and/or correct</u></li> </ol>	<u>\$0-\$2,000</u>

**Administrative Fines for Independent Pharmacies**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1000</u>
<u>Operating with an expired controlled substance registration between January 1-31</u>	<u>\$0-\$500</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-1,000 + \$75/legend drug prescription</u>
<u>Operating with an expired controlled substance registration after January 31</u>	<u>\$0-\$500 + \$75/controlled substance prescription</u>
<u>Allowing a technician to work without completing training</u>	<u>\$0-\$100</u>
<u>Failure to Submit Action Plan Within 10 Days of Outgoing Supervising Pharmacist Departure (No Incoming Supervising Pharmacist)</u>	<u>\$0-\$50/day over 10 days</u>
<u>Failure to Identify New Supervising Pharmacist Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>

<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Unauthorized Presence Inside the Pharmacy excluding:</u>  1. <u>Cashiers</u> 2. <u>Shadowing in compliance with Board Policy 23-006</u> 3. <u>Individuals authorized under applicable statute, rule, or policy</u>	<u>First violation: Warning Letter, no fine</u>  <u>Second violation: \$0-\$500</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>
<u>Failure to Notify Board of Authorization to be a DEA Drug Collector</u>	<u>\$0-\$250</u>
<u>Violation of Code of Professional Conduct where, in the Board's judgement, the violation does not:</u>  1. <u>Impact public safety or direct patient care, and</u> 2. <u>Cause the Board to expend Board resources to evaluate and/or correct</u>	<u>\$0-\$2,000</u>

**Administrative Fines for Institutional Pharmacies**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1000</u>
<u>Operating with an expired controlled substance registration between January 1-31</u>	<u>\$0-\$500</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-1,000 + \$75/legend drug prescription</u>
<u>Operating with an expired controlled substance registration after January 31</u>	<u>\$0-\$500 + \$75/controlled substance prescription</u>

<u>Allowing a technician to work without completing training</u>	<u>\$0-\$100</u>
<u>Failure to Submit Action Plan Within 10 Days of Outgoing Supervising Pharmacist Departure (No Incoming Supervising Pharmacist)</u>	<u>\$0-\$50/day over 10 days</u>
<u>Failure to Identify New Supervising Pharmacist Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Unauthorized Presence Inside the Pharmacy excluding:</u>  1. <u>Cashiers</u> 2. <u>Shadowing in compliance with Board Policy 23-006</u> 3. <u>Individuals authorized under applicable statute, rule, or policy</u>	<u>First violation: Warning Letter, no fine</u>  <u>Second violation: \$0-\$500</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>
<u>Failure to Notify Board of Authorization to be a DEA Drug Collector</u>	<u>\$0-\$250</u>
<u>Violation of Code of Professional Conduct where, in the Board's judgement, the violation does not:</u>  1. <u>Impact public safety or direct patient care, and</u> 2. <u>Cause the Board to expend Board resources to evaluate and/or correct</u>	<u>\$0-\$2,000</u>

**Administrative Fines for Non-Resident Pharmacies**

<u>Description</u>	<u>Fine</u>
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<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1000</u>
<u>Operating with an expired controlled substance registration between January 1-31</u>	<u>\$0-\$500</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-1,000 + \$75/legend drug prescription</u>
<u>Operating with an expired controlled substance registration after January 31</u>	<u>\$0-\$500 + \$75/controlled substance prescription</u>
<u>Allowing a technician to work without completing training</u>	<u>\$0-\$100</u>
<u>Failure to Submit Action Plan Within 10 Days of Outgoing Supervising Pharmacist Departure (No Incoming Supervising Pharmacist)</u>	<u>\$0-\$50/day over 10 days</u>
<u>Failure to Identify New Supervising Pharmacist Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Unauthorized Presence Inside the Pharmacy excluding:</u>  1. <u>Cashiers</u> 2. <u>Shadowing in compliance with Board Policy 23-006</u> 3. <u>Individuals authorized under applicable statute, rule, or policy</u>	<u>First violation: Warning Letter, no fine</u>  <u>Second violation: \$0-\$500</u>  —
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>
<u>Failure to Notify Board of Authorization to be a DEA Drug Collector</u>	<u>\$0-\$250</u>

<u>Violation of Code of Professional Conduct where, in the Board's judgement, the violation does not:</u>  1. <u>Impact public safety or direct patient care, and</u> 2. <u>Cause the Board to expend Board resources to evaluate and/or correct</u>	\$0-\$2,000
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**Administrative Fines for Pharmacy Services Permits**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1000</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-2,000</u>
<u>Allowing a technician to work without completing training</u>	<u>\$0-\$100</u>
<u>Failure to Submit Action Plan Within 10 Days of Outgoing Supervising Pharmacist Departure (No Incoming Supervising Pharmacist)</u>	<u>\$0-\$50/day over 10 days</u>
<u>Failure to Identify New Supervising Pharmacist Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Unauthorized Presence Inside the Pharmacy excluding:</u>  1. <u>Cashiers</u> 2. <u>Shadowing in compliance with Board Policy 23-006</u> 3. <u>Individuals authorized under applicable statute, rule, or policy</u>	<u>First violation: Warning Letter, no fine</u>  <u>Second violation: \$0-\$500</u>  —

<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>
<u>Violation of Code of Professional Conduct where the violation does not:</u> <ol style="list-style-type: none"> <li><u>In the Board's judgement does not impact public safety or direct patient care, and</u></li> <li><u>Cause the Board to expend Board resources to evaluate and/or correct</u></li> </ol>	<u>\$0-\$2,000</u>

**Administrative Fines for Retail Medical Oxygen Suppliers**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$250</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-250 + \$75/prescription</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>

**Administrative Fines for Medical Oxygen Manufacturers and Wholesalers**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$250</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-\$1,000 + \$400/invoice</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>

<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to display all required licenses</u>	<u>\$0-\$500</u>

**Administrative Fines for 503B Outsourcing Facilities**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1,000</u>
<u>Operating with an expired controlled substances permit between January 1-31</u>	<u>\$0-\$750</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-\$1,000 + \$400/invoice</u>
<u>Operating with an expired controlled substances permit after January 31</u>	<u>\$0-\$750 + \$400/invoice</u>
<u>Shipping to an entity with an expired license</u>	<u>\$0-\$100 + \$25/shipment</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to Display the License</u>	<u>\$0-\$500</u>

**Administrative Fines for Third Party Logistics Providers**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1,000</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-\$1,000 + \$400/invoice</u>
<u>Shipping to an entity with an expired license</u>	<u>\$0-\$100 + \$25/shipment</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u>

	<u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of New Designated Representative Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to submit an action plan Within 10 Days of outgoing Designated Representative (no incoming DR)</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Identify Incoming Designated Representative Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to Display the License</u>	<u>\$0-\$500</u>

#### Administrative Fines for Precursors

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1,000</u>
<u>Operating with an expired controlled substances permit between January 1-31</u>	<u>\$0-\$750</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-\$1,000 + \$400/invoice</u>
<u>Operating with an expired controlled substances permit after January 31</u>	<u>\$0-\$750 + \$400/invoice</u>
<u>Shipping to an entity with an expired license</u>	<u>\$0-\$100 + \$25/shipment</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u>

	>100 days: \$750-\$1,000
<u>Failure to Maintain Required Records</u>	\$0-\$500
<u>Failure to Display the License</u>	\$0-\$500

**Administrative Fines for Manufacturers**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	\$0-\$1,000
<u>Operating with an expired controlled substances permit between January 1-31</u>	\$0-\$750
<u>Operating with an expired license after January 31</u>	\$0-\$1,000 + \$400/invoice
<u>Operating with an expired controlled substances permit after January 31</u>	\$0-\$750 + \$400/invoice
<u>Shipping to an entity with an expired license</u>	\$0-\$100 + \$25/shipment
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	< 30 days: \$0-\$500 30-100 days: \$500-\$750 >100 days: \$750-\$1,000
<u>Failure to Provide Notice of New Designated Representative Within 10 Days</u>	< 30 days: \$0-\$500 30-100 days: \$500-\$750 >100 days: \$750-\$1,000
<u>Failure to submit an action plan Within 10 Days of outgoing Designated Representative (no incoming DR)</u>	< 30 days: \$0-\$500 30-100 days: \$500-\$750 >100 days: \$750-\$1,000
<u>Failure to Identify Incoming Designated Representative Within 90 Days of Initiating an Action Plan</u>	< 30 days: \$0-\$500 30-100 days: \$500-\$750 >100 days: \$750-\$1,000

<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to Display the License</u>	<u>\$0-\$500</u>

**Administrative Fines for Distributors**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1,000</u>
<u>Operating with an expired controlled substances permit between January 1-31</u>	<u>\$0-\$750</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-\$1,000 + \$400/invoice</u>
<u>Operating with an expired controlled substances permit after January 31</u>	<u>\$0-\$750 + \$400/invoice</u>
<u>Shipping to an entity with an expired license</u>	<u>\$0-\$100 + \$25/shipment</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of New Designated Representative Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to submit an action plan Within 10 Days of outgoing Designated Representative (no incoming DR)</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Identify Incoming Designated Representative Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>

<u>Failure to Display the License</u>	<u>\$0-\$500</u>
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**Administrative Fines for ALL Other Drug Facility Permit Holders**

<u>Description</u>	<u>Fine</u>
<u>Operating with an expired license between January 1-31</u>	<u>\$0-\$1,000</u>
<u>Operating with an expired controlled substances permit between January 1-31</u>	<u>\$0-\$750</u>
<u>Operating with an expired license after January 31</u>	<u>\$0-\$1,000 + \$400/invoice</u>
<u>Operating with an expired controlled substances permit after January 31</u>	<u>\$0-\$750 + \$400/invoice</u>
<u>Shipping to an entity with an expired license</u>	<u>\$0-\$100 + \$25/shipment</u>
<u>Failure to Provide Notice of Change of Ownership Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Provide Notice of New Designated Representative Within 10 Days</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to submit an action plan Within 10 Days of outgoing Designated Representative (no incoming DR)</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Identify Incoming Designated Representative Within 90 Days of Initiating an Action Plan</u>	<u>&lt; 30 days: \$0-\$500</u> <u>30-100 days: \$500-\$750</u> <u>&gt;100 days: \$750-\$1,000</u>
<u>Failure to Maintain Required Records</u>	<u>\$0-\$500</u>
<u>Failure to Display the License</u>	<u>\$0-\$500</u>

**Author:** Alabama State Board of Pharmacy  
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**Amended:** Published December 31, 2024; effective February 14, 2025. **Amended:** Published ; effective .